

9/15/2007

## New Mexico State Treasurer's Office

## BROKER/DEALER LIST

\* = Primary Dealer

% = Govt Agency Dealer Group T

= on Tradeweb

B = Trades on Bloomberg A

= FNMA Access System

FIRM	Agency Treasury	Comm'l Paper	Corp. Bonds	FIRM CRD	CONTACT	CONTACT CRD	PHONE	ADDRESS	E-MAIL	
1 Bank of America Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	26091	Mike Wall Reviewed: 9/2007	140261 (214) 209-3437 (800) 492-6431	901 Main Street Dallas TX 75202-3714	<a href="mailto:mike.m.wall@bankofamerica.com">mike.m.wall@bankofamerica.com</a>
2 BBT New Firm/New Rep Reviewed: 9/2007	% B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	6255	Ronald Lawton Reviewed: 9/2007	1211195 800-498-0109 561-955-7022	BB&T Capital Markets 925 South Federal Highway Suite 500 Boca Raton, Florida 33432	
3 Bear Stearns Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	79	William Edwin Daws Robert Hirshland Reviewed: 9/2007	62856 1265096 (310) 201-7859 (310) 407-1955 Fax	1999 Avenue of the Stars Suite 3300 Los Angeles, 90067	<a href="mailto:bhirshland@bear.com">bhirshland@bear.com</a> <a href="mailto:bdaws@bear.com">bdaws@bear.com</a>
4 Cantor Fitzgerald Replacement of Representative Reviewed: 9/2007	* % B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	134	Jaff Salim Reviewed: 9/2007	4540267 (901)-347-1898	965 Ridgelake Blvd Ste 200 Memphis, TN, 38120	<a href="mailto:jaff@bloomberg.net">jaff@bloomberg.net</a>
5 Citigroup Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	7059	Michael McGee Lars Monroe Reviewed: 9/2007	2234377 2006263 (866) 585-6607 (415) 955-1587	555 California Street 35th Floor San Francisco CA 94104	<a href="mailto:michael.r.mcgee@smithbarney.com">michael.r.mcgee@smithbarney.com</a>
6 CS First Boston Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	816	Christopher Mcdonough Reviewed: 9/2007	1453838 2823955 (415) 836-6302 (415) 836-7761 Fax	201 Spear Street 17th floor San Francisco, CA 94105	<a href="mailto:chris.mcdonough@credit-suisse.com">chris.mcdonough@credit-suisse.com</a> <a href="mailto:hedi.masuoka@credit-suisse.com">hedi.masuoka@credit-suisse.com</a>
7 Deutsche Bank Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	2525	Scott Friede Reviewed: 9/2007	1962185 (877) 454-3813 (630) 308-2238	222 S. Riverside Plaza Chicago, IL 60606	<a href="mailto:sfriede1@bloomberg.net">sfriede1@bloomberg.net</a> <a href="mailto:scott.friede@db.com">scott.friede@db.com</a>
8 FTN Financial Reviewed: 9/2007	% T B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	46346	Cary Cicurel Eric Quick Reviewed: 9/2007	1286883 2464759 (312) 258-5000 (312) 258-5073 (312) 258-5073 Fax	500 West Madison Street Suite 2940 Chicago, IL 60661	<a href="mailto:Ricky.Quick@ftnfinancial.com">Ricky.Quick@ftnfinancial.com</a> <a href="mailto:cary.cicurel@ftnfinancial.com">cary.cicurel@ftnfinancial.com</a>
9 Goldman Sachs Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	361	Michael Murrell Reviewed: 9/2007	1390891 (800) 398-5832 (212) 256-4036	85 Broad Street 4th floor NY, NY 10004	<a href="mailto:michael.murrell@gs.com">michael.murrell@gs.com</a>
10 HSBC Replacement Representatives Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	19585	Valerie Polizzotto Patrick McCoey Reviewed: 9/2007	2361738 1067163 (212) 525-0204 (917) 658-7576	452 Fifth Avenue NY, NY 10018	<a href="mailto:valerie.polizzotto@us.hsbc.com">valerie.polizzotto@us.hsbc.com</a>
11 Jackson Securities New Firm/New Rep - 9/07 Minority Owned Firm Reviewed: 9/2007	B A	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	19897	Kandy Outlaw Sharon Jorgenson Robin Taylor Reviewed: 9/2007	3145820 1048948 1901656	Jackson Securities 100 Auburn Avenue, NE Atlanta GA, 30303	
12 Jefferies New Firm/New Rep - 9/07 Reviewed: 9/2007	% B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	2347	Kenneth Kautheil Reviewed: 9/2007	1301973 (1-415-229-1427)	Jefferies & Co. 650 California St 30th Floor San Francisco, Ca, 94108	
13 JP Morgan Replacement of Representative Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	18718	Jeff Horn Reviewed: 9/2007	1896605 (415) 315-5717	560 Mission Street San Francisco, CA 94105	
14 Lehman Brothers Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	7506	Walter Hall Reviewed: 9/2007	1496104 (800) 398-1295	555 California St. 30th floor San Francisco, CA 94104	<a href="mailto:walter.hall@lehman.com">walter.hall@lehman.com</a>
15 Merrill Lynch Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	7691	Glen Carter Ragina Winters Reviewed: 9/2007	1055009 2510264 (800) 876-1101 (505) 884-7000	2100 Ross Avenue Dallas, Texas 75201	<a href="mailto:carterglen@bloomberg.net">carterglen@bloomberg.net</a> <a href="mailto:rwinters1@bloomberg.net">rwinters1@bloomberg.net</a>

16	MFR New Firm/New Rep - 9/07 Minority Owned Firm Reviewed: 9/2007	B A	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	36308	Maria Fiorini Ramirez Monique Tommaro Reviewed: 9/2007	1277347 2001048 Reviewed: 9/2007	1-212-416-5000	MFR 675 Third Avenue, 11th Floor NY, NY 10017	
17	Morgan Keegan New Firm/New Rep - 9/07 Reviewed: 9/2007	* % B	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	4161	Marshall Clark Reviewed: 9/2007	2057778 Reviewed: 9/2007	1-901-529-5350 1-800-564-7291	Morgan Keegan 50 No. Front Street Memphis, TN 38103-9980	<a href="mailto:marshall.clark@morgankeegan.com">marshall.clark@morgankeegan.com</a>
18	Morgan Stanley Reviewed: Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	7556	William Kadel Tamara Pavlovic Reviewed: 9/2007	23132729 3206630 Reviewed: 9/2007	(312) 827-6588 (312) 827-6588	70 West Madison Suite 500 Chicago Illinois 60602	<a href="mailto:wkadel@bloomberg.net">wkadel@bloomberg.net</a> <a href="mailto:tpavlovic@bloomberg.net">tpavlovic@bloomberg.net</a>
19	Piper Jaffray Reviewed: 9/2007	% B	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	665	Victor Ume Ukeje Reviewed: 9/2007	1512919 Reviewed: 9/2007	(415) 984-5192	345 California Street Ste 2300 San Francisco, CA 94104	<a href="mailto:umevictor@bloomberg.net">umevictor@bloomberg.net</a>
20	RBS Greenwich Capital New Firm/New Rep Reviewed: 9/2007	% B	<input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	11707	Margaret Kerins Michael Willis Reviewed: 9/2007	2969548 1325412 Reviewed: 9/2007	1-800-426-4443	RBS Greenwich Capital 550 West Jackson Blvd Chicago, Ill 60661	
21	Sterne Agee New Firm/New Rep Reviewed: 9/2007	% B	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	791	Alan Schnall Reviewed: 9/2007	1578251 Reviewed: 9/2007	1-561-544-2669	Sterne, Agee & Leach 980 North Federal Highway Suite 108 Boca Raton, Florida 33432	
22	Stifel Nicolaus & Co New Firm/New Rep Reviewed: 9/2007	% B	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	793	Michael Lamb Reviewed: 9/2007	1096383 Reviewed: 9/2007	1-314-342-2000 1-800-488-0970	Stifel Nicolaus and Co 501 N. Broadway 8th Floor St Louis, MO 63102	
23	UBS Paine Weber. Reviewed: 9/2007	* % T B	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	8174	John Kenneth Doke Reviewed: 9/2007	2192766 Reviewed: 9/2007	(800) 273-2557 (214) 965-6177	100 Crescent Court - Suite 600 Dallas, Texas 75201	<a href="mailto:doke@bloomberg.net">doke@bloomberg.net</a>
24	Vining Sparks Reviewed: 9/2007	% B	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	27502	William W. Daniels John Krim Reviewed: 9/2007	1514640 1005367 Reviewed: 9/2007	(913) 323-0600 (800) 733-6882	9200 Indian Creek Parkway Building 9, Suite 580 Overland Park, Kansas 66210	<a href="mailto:ikrim@viningsparks.com">ikrim@viningsparks.com</a> <a href="mailto:bdaniels@viningsparks.com">bdaniels@viningsparks.com</a>
25	Williams Capital Group New Firm/New Rep Minority Owned Firm Reviewed: 9/2007	B A	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/>	35149	David Coard Janice Savin Reviewed: 9/2007	1633685 1276382 Reviewed: 9/2007	1-212-373-4206 1-212-830-4530	Williams Capital Group 650 5th Ave. 10th Floor NY, NY 10019	

## OVERNIGHT REPURCHASE BROKER/DEALERS

### OVERNIGHT BROKER/DEALERS

UBS	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	7654	Fiscal Agent Bank	Fiscal Agent Bank	505-282-3087
Lehman Bros.	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	7506	Fiscal Agent Bank	Fiscal Agent Bank	505-282-3087
Cantor Fitzgerald	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	134	Fiscal Agent Bank	Fiscal Agent Bank	505-282-3087
Bear Stearns	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	79	Fiscal Agent Bank	Fiscal Agent Bank	505-282-3087
Morgan Stanley	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	7556	Fiscal Agent Bank	Fiscal Agent Bank	505-282-3087
CS First Boston	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	816	Fiscal Agent Bank	Fiscal Agent Bank	505-282-3087
Goldman Sachs	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	361	Fiscal Agent Bank	Fiscal Agent Bank	505-282-3087

### MONEY MARKET FUNDS

Mellon	B	<input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/> <input checked="" type="checkbox"/>	17454	Steven Kraus	3160511	(203) 296-1064	One Mellon Bank Ste 475	<a href="mailto:kraus.sd@mellon.com">kraus.sd@mellon.com</a>
Reviewed: 9/2007				Reviewed: 9/2007			Pittsburg, PA 15258	<a href="mailto:stevekraus@bloomberg.net">stevekraus@bloomberg.net</a>